

SUPPLIER CODE OF CONDUCT



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SUPPLIER CODE OF CONDUCT

1. INTRODUCTION AND PURPOSE

Ecocat (India) Private Limited is committed to conducting business responsibly, ethically and sustainably and expects the same commitment from its suppliers, contractors, subcontractors, service providers and other business partners.

This Supplier Code of Conduct ("Code") establishes the minimum standards and expectations that suppliers must meet as a condition of doing business with Ecocat India. Suppliers are expected to comply with this Code in both letter and spirit, applicable laws and regulations, and the contractual requirements agreed with Ecocat India.

2. SCOPE AND APPLICABILITY

This Code applies to all suppliers, contractors, subcontractors, service providers and other business partners providing products or services to Ecocat India.

Suppliers are responsible for communicating the principles and requirements of this Code to their employees, agents, contractors and relevant sub-suppliers and for taking reasonable steps to ensure compliance throughout their supply chain.

The requirements of this Code are in addition to, and do not replace, applicable laws, regulations, contractual obligations or customer requirements.

Suppliers shall maintain appropriate records and evidence demonstrating compliance with the requirements of this Code.

3. ETHICAL BUSINESS CONDUCT

3.1 Anti-Bribery and Anti-Corruption

Suppliers shall conduct business with integrity and shall comply with all applicable anti-bribery and anti-corruption laws.

Suppliers shall not offer, promise, authorize, provide or accept any bribe, kickback, facilitation payment or other improper advantage, directly or indirectly, to influence a business decision.

Suppliers shall maintain appropriate controls to prevent, detect and report corruption and unethical conduct.

3.2 Conflict of Interest

Suppliers shall avoid situations that create, or appear to create, a conflict between personal interests and business interests.

Any actual or potential conflict of interest involving Ecocat India employees or representatives shall be disclosed promptly.

3.3 Gifts and Hospitality

Gifts, entertainment, hospitality or other benefits offered to Ecocat India employees shall be reasonable, legitimate, infrequent and consistent with applicable laws and Pranav'ssa requirements.

No gift or hospitality shall be offered with the intention of improperly influencing a business decision.

3.4 Fair Competition

Suppliers shall compete fairly and comply with applicable competition and antitrust laws.

Suppliers shall not engage in price fixing, bid rigging, market allocation, abuse of dominant position or other anti-competitive practices.

3.5 Anti-Money Laundering

Suppliers shall comply with applicable laws relating to prevention of money laundering, terrorist financing and other financial crimes.

3.6 Confidentiality and Intellectual Property

Suppliers shall protect Ecocat India's confidential information, intellectual property, technical information, drawings, specifications, designs and other proprietary information.

Such information shall only be used for authorized business purposes.

4. HUMAN RIGHTS AND LABOUR PRACTICES

Ecocat India respects internationally recognized human rights and expects suppliers to respect the dignity, rights and well-being of all workers.

Suppliers shall provide a workplace free from forced labour, child labour, discrimination, harassment and abuse.

Suppliers shall comply with applicable laws concerning Minimum wages; Overtime; Working hours; Leave; Social security and statutory benefits; etc.

Suppliers shall provide workers with accessible mechanisms to raise concerns or grievances without fear of retaliation and shall investigate legitimate complaints promptly and take appropriate corrective action.

5. HEALTH AND SAFETY

Suppliers shall provide a safe and healthy workplace and shall take proactive measures to prevent occupational injuries, illnesses and fatalities.

Suppliers shall comply with applicable occupational health and safety laws and maintain appropriate policies, procedures, risk assessments, training, emergency preparedness and personal protective equipment requirements.

6. ENVIRONMENTAL RESPONSIBILITY

Suppliers shall comply with applicable environmental laws, permits, consents and regulatory requirements and shall seek to minimise the environmental impact of their operations.

The existing code requires suppliers to minimise impacts associated with resource consumption, emissions and waste and to monitor environmental performance where feasible.

Suppliers shall progressively improve environmental performance through appropriate controls, monitoring and resource-efficiency measures such as energy efficiency, water management, waste management, etc.

Suppliers shall seek to avoid or minimise adverse impacts on biodiversity and ecosystems arising from their operations.

Where operations are located in or near environmentally sensitive areas, appropriate environmental risk assessments and mitigation measures shall be implemented.

Environmental incidents, including significant spills, releases, regulatory violations or other events that may materially affect the environment or Ecocat India, shall be reported promptly and appropriate corrective action shall be taken.

7. PRODUCT, MATERIAL AND REGULATORY COMPLIANCE

Suppliers shall ensure that products and services supplied to Ecocat India comply with applicable laws, regulations, customer requirements and agreed specifications.

The existing Code specifically addresses product quality, packaging and labelling, REACH, RoHS, material compliance and Substances of Concern.

Suppliers shall, where applicable:

- Comply with applicable product safety requirements;
- Maintain required technical documentation;
- Provide accurate material declarations;
- Comply with restricted-substance requirements;
- Provide information relating to Substances of Concern;
- Maintain appropriate traceability;
- Support applicable Extended Producer Responsibility requirements;
- Provide recycled-content information where contractually or legally required;
- Comply with applicable battery, e-waste and other product-related regulations; and
- Provide information required for customer sustainability and regulatory reporting.

Where relevant to the product or industry, suppliers should maintain appropriate systems such as material declaration and responsible-sourcing systems.

8. DATA PRIVACY AND INFORMATION SECURITY

Suppliers shall protect confidential information and personal data received from or relating to Ecocat India, its employees, customers and business partners.

The existing code requires suppliers to comply with applicable data privacy and information-security requirements and any material information-security or data breach affecting Ecocat India shall be reported promptly.

9. AUDITING, ASSESSMENTS AND TRANSPARENCY

Ecocat India may assess supplier compliance with this Code through:

- Self-assessments;
- Documentation reviews;
- Questionnaires;
- Physical audits;
- Virtual audits;
- Hybrid audits; and/or
- Independent third-party assessments

Suppliers shall provide reasonable access to relevant Personnel; Facilities; Records; Policies and procedures; Licences and statutory approvals; and other evidence necessary to assess compliance.

10. CORRECTIVE ACTION AND CONTINUOUS IMPROVEMENT

Where non-compliance is identified, the supplier shall develop and implement a Corrective Action Plan (CAP) within the agreed timeframe.

Critical issues involving potential fatalities, serious human-rights violations, child or forced labour, major environmental damage, corruption or significant legal violations may require immediate action.

11. REPORTING CONCERNS AND NON-RETALIATION

Suppliers, their employees and relevant stakeholders are encouraged to report suspected violations of this Code at vigil@vikasgroup.in

12. CONSEQUENCES OF NON-COMPLIANCE

Failure to comply with this Code may result in appropriate action depending on the nature, severity and recurrence of the violation.

Actions may include:

- Increased monitoring;
- Improvement plans;
- Suspension or termination of specific orders;
- Legal proceedings

Critical violations may result in immediate escalation and action.

13. IMPLEMENTATION AND MONITORING

Ecocat India may periodically review and update this Code to reflect changes in:

- Applicable laws and regulations;
- Customer expectations;
- ESG and sustainability standards;
- Industry practices;
- Environmental and climate risks; and
- Human-rights and responsible-business expectations.

Suppliers are expected to support continuous improvement and cooperate with Ecocat India in implementing revised requirements.

19. SUPPLIER DECLARATION AND COMMITMENT

By accepting this Code, the supplier confirms that it has:

1. Received and understood the Ecocat India Supplier Code of Conduct;
2. Communicated applicable requirements to relevant employees and business partners;
3. Committed to comply with the Code in both letter and spirit;
4. Committed to comply with all applicable laws and regulations;
5. Agreed to provide reasonable information and cooperation for assessments and audits;
6. Accepted responsibility for appropriate compliance by its employees, agents, contractors and relevant sub-suppliers; and
7. Understood that non-compliance may result in corrective action, suspension, termination of business and/or other appropriate action.

This Code forms an integral part of the supplier relationship and shall be read together with applicable contracts, purchase orders, specifications and other Ecocat India requirements.

SUPPLIER ACKNOWLEDGEMENT

Supplier Name: _____

Address: _____

Authorized Representative: _____

Designation: _____

Signature: _____

Date: _____

Company Stamp: _____

This version is intentionally structured so that **the Code remains a policy-level document**, while detailed requirements such as HIRA methodology, LOTO procedure, contractor safety, environmental data templates and ESG supplier scoring can sit in separate SOPs/standards. That will make it much easier to implement across the Ecocat India supplier base rather than making the Code unnecessarily operational.